

## Team 1

# Audio, Acoustical and Optical waves (AAO)

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Permanent staff [ <i>Institut ; CNRS</i> ] ; post-docs	[7.5 ; 1.3] ; 1.5
PhDs	10
Defended PhDs	18
Defended HDR	2
Journal papers [published, in press]	[53 ; 5]
Chapters and books [published, in press]	[3 ; 7]
Conference papers	137
Patents and software	2
Contractual income 2005–2009 (june) [Private ; Public ; European] (k€)	[560; 755 ; 356]

The AAO (**A**udio, **A**coustical and **O**ptical waves) research group gathers digital and optical signal processing activities with a strong reference to the physical properties of the acoustical and optical phenomena. The group is structured in two research projects:

- Audio Signal Processing (*AudioSig* project),
- Optical Signal Processing (*TOS* project)

## 1.1 Audio Signal Processing (*AudioSig* project)

### 1.1.1 Objectives

The aim of this project is to develop digital audio signal processing methods in order to propose innovative solutions to the main problems linked to audio (speech, music, . . .) in multimedia applications. Our interests encompass the complete processing chain from sound capture and transmission to sound restitution. Work is both conducted on a methodological level to develop new sound representations and models especially for musical signals (Adaptive methods for high resolution sinusoidal components tracking, sparse representations, Non-Negative Matrix factorization, hierarchical models, . . .) and on their application to practical problems (watermarking, compression, EEG signal processing, automatic indexing). Audio indexing and retrieval currently is the central research theme of this project and includes topics such as broadcast streams segmentation into broad classes of audio events (speech/music/silence/singing, . . .), musical signals automatic analysis, decomposition and understanding (polyphonic audio source separation, rhythm extraction, multiple fundamental frequencies estimation, main melody extraction, . . .). A new transverse orientation has also gained more interest with the arrival in november 2007 of a new CNRS permanent researcher on the specific theme of statistical methods for audio signal processing.

On a different level, the group has initiated the development of a multimedia indexing and mining platform (called PLATO) which now involves several other groups. This internal platform, targeted to researchers, aims at being an intelligent media library, at centralizing research software, processing tools and computation resources and at providing demonstrative and communication tools.

The project is also maintaining tight links and collaborations with both academics (Queen Mary university of London, Dublin City University, Technical University of Berlin, University Paris 6 (LAM), IRCAM, INRIA-IRISA, LABRI-CNRS, . . .) and industry (Thalès, FT R&D, RTL, INA, Audionamix, . . .).

### 1.1.2 Results

#### Audio and multimedia scenes analysis and indexing

**Researchers** R. Badeau, B. David, S. Essid, C. Févotte, Y. Grenier, J. Prado, G. Richard;

#### Highlights :

**Collaborations:** With industry (FT R&D, Thales, RTL, INA) and academics (TU Berlin, Queen Mary University, LAM-Paris 6, IRISA, IRCAM, LABRI, . . .)

**Projects:** Network of Excellence IST-Kspace (*Knowledge Space of Semantic Inference for Automatic Annotation and Retrieval of Multimedia Content*), ACI Musicdiscover (*Indexing*

and search in audio databases), ANR-Desam (*Decompositions in sound elements and musical applications*), IVMN-infom@gic, ANR Sarah (*Standardisation of High-Definition Remastering*), OSEO-QUAERO (*towards multimedia and multilingual search engines for professional and general public applications*);

**Prize:** PhD prize "ParisTech 2006" (R. Badeau)

This activity is following several research axes. The first direction, which is on a rather methodological level, aims at developing generic signal models and representations with a specific focus on audio signals. Several very interesting results were obtained for the estimation and tracking of sinusoidal components of an audio signal (new estimators for amplitude and frequency modulated components in noise [17], efficient algorithms for the adaptive estimation and tracking of the signal subspace components [9][12]). An increased effort was also dedicated to sparse signal representations, such as based on Matching Pursuit or Non-negative Matrix factorisation (NMF)[27], that allow to decompose a signal using a limited number of atoms or basis functions. The applicability of these methods to generic problems such as scalable audio signal compression [44], audio source separation or music signal indexing was demonstrated by introducing specific constraints deduced from the audio signal properties (use of instrument specific atoms for music instrument recognition [40], use of harmonicity or temporal constraints for music transcription[164], use of source production or timbre models for source separation [102],...). This methodological effort explores both deterministic and statistical approaches.

The second direction concerns the different facets of audio indexing and audio source separation which are two intricate problems. Indeed, efficient source separation eases the transcription of the resulting sources and efficient audio indexing facilitates the source separation. In music signal transcription, the group is directly interested in the four main problems which are *multiple fundamental frequencies estimation* (e.g. detection of simultaneous notes in a polyphonic musical recording [105],[164]), *rhythmical information tracking* (tempo and beat estimation [6, 5], *harmonic information estimation* (recognition of the chords sequence) and *timbre recognition* (musical instrument recognition in polyphonic audio [25]). Source separation approaches were developed for specific music transcription tasks such as drum track transcription and resynthesis [31]) and main melody estimation (by use of a NMF-based source-filter model for separating the singing voice from the musical accompaniment [103]) but also for specific audio rendering tasks such as stereo signal remastering [43].

The third research direction is dedicated to the audio streams segmentation into broad classes of audio events with application to *broadcast multimedia streams* (speech/music segmentation [157], speech emotion recognition [46],[21] or TV show structuring) and *musical streams* (musical instrument recognition [26],[38], multimodal audio/video semantic alignment [29]). Our efforts in this field is now evolving towards the automatic classification- both supervised and unsupervised- of multi-modal (or multi-stream) data sequences, typically audiovisual streams. Our emphasis is targeted to the incorporation of prior knowledge on the nature and structure of the streams processed, typically temporal dependencies and/or inter-stream correlations/dependencies, both at the signal level and the semantic level, possibly using ancillary information attached to the content (available meta-data, tags, notices, etc.) and/or user interaction (relevance feedback). At the methodological level, a special interest has been directed to kernel-based methods (Support Vector Machines, sequence kernels, probabilistic distances, kernel change detection, kernel LDA,...) and more recently to hybrid kernel and Bayesian network based methods.

Whenever possible, the results obtained are submitted to national or international evaluation campaigns. In particular in 2008, the group has participated to the national *ESTER 2 campaign* (Audio stream segmentation : best algorithm for music/non music detection and 2nd best for speech/non speech detection), the *Sissec campaign* (best results in two audio source separation subtasks) and *MIREX* (best algorithm for main melody estimation in 2008).

## Sound capture and rendering

**Researchers** B. David, Y. Grenier, J. Prado, G. Richard;

**Highlights** Joint PhD with University of Parme, Italy; contract with France Télécom on audio source separation in the automotive domain, CapDigital-ROMEO (*a project within "pôle de compétitivité CapDigital, lead by Aldebaran Robotics and aiming at creating a humanoid robot*)

The objective of this theme is to improve sound field analysis and synthesis capabilities by developing specific digital signal processing methods. In binaural reproduction, a new approach was introduced to rapidly acquire new Head Related Transfer Functions (HRTF) and to personalize the rendering system to a new listener [113]. Such a binaural reproduction system, where the acoustics of a room are simulated as perceived by the listener through his HRTF, was developed. Formal perception tests were also conducted in collaboration with the university of Parme to validate the different sound rendering methods proposed [87].

In sound capture, recent work permitted to propose a novel technic for automatic sound field analysis from a network of sensors (microphones) [124]. This approach refers to the classical multi-microphones beamforming and parametric spectral estimation principles. The sound field component in each direction is obtained from the maximization of the spatial resolution around the targeted direction. This filtering is directly expressed under the form of spheroidal functions. Current work tackles the difficult problem of humanoid robot audition which needs, using a limited number of sensors, to be robust to movements of the robot and to highly variable environments.

Concurrently, a novel approach for blind audio source separation from a network of sensors was introduced for the underdetermined case (e.g. less sources than sensors). This method combines a wavelet-based time frequency analysis with an automatic classification of the data vectors that represent the positions of each source [4]. We produced several variants of this approach, one of them being based on an empirical modal decomposition [2]. We have shown that our blind separation techniques could be embedded in a general framework characterized by the use of second order statistical properties of the signals [3]. Since our goal was to apply these techniques in the car environment, we had to take into account the properties of the acoustic channels between the position of each source and the microphones (each channel acts as a filter or a convolution between the source signal and the impulse response of the channel); for this reason, another variant of the separation technique, which takes into account the convolutions, was elaborated in the time-frequency domain[1].

## Sound sources watermarking and compression

**Researchers** N. Moreau, G. Richard

**Highlights** : Media Puppet project, academic collaborations (Univ. of Toulon, INPG Grenoble, Univ. of Paris 6/LAM)

Originally, the focus in audio watermarking was on the technology performances improvement (in terms of bit rates/ratio of binary errors) by introducing new methods exploiting the fact that a watermarking system can be viewed as a communication channel with adjacent information [14]. Recently, the objective was refocused on robustness issues to take into account typical use cases (such as those provided by Mediametrie). In particular, specific effort was dedicated to allow the detection of a hidden signal for degraded recordings (low quality microphones) or degraded communications (due to reverberation in a set-up where the loudspeakers and microphone are separated by at least 1m50). This appears to be a difficult problem that can only be partially solved by adaptive equalisation technics.

In audio compression, the work was mostly dedicated to low bit rate audio coding in the transform domain. On the one hand, specific effort was put to develop optimized quantization schemes for the MPEG Advanced Audio Coder (AAC) using a statistical subband model [22]. This approach was later extended to stereo signals for the MS-stereo mode of the AAC coder. In particular, the quantization error model introduced permits a global approach for coding both Middle and Side channels in the same process leading to improved efficiency without increase of complexity [23]. On the other hand, investigations were conducted to develop highly scalable transform coders which can seamlessly operate from very low bit rate up to transparency. To that aim, sparse overcomplete representations are used to decompose the audio signals over a redundant union of bases (such as Modified Discrete Cosine Transform bases at different scales) [44]. It was also shown that the high flexibility of the signal representations used in this coder allows to tackle various audio indexing tasks (such as beat tracking or musical genre recognition) directly in the transform domain [45].

### **Active noise control and biomedical signals analysis**

**Researchers** J. Prado, Y. Grenier;

**Highlights** : External collaboration, ACI Abrupt (*Active Noise control of perceived background noise in call centers*)

In the framework of the ACI ABRUPT project, the activity focused on the development of appropriate methods for active noise control of background noise in call centers. For this purpose, a slightly modified GMDF<sub>a</sub>(Generalised Multi-Delay Filter) algorithm was used where the signal reconstruction by overlap and add was suppressed. Although this modification leads to slightly lower performances, it permits to obtain a lower complexity algorithm with still better noise suppression capabilities than time-domain approaches (such as FXLMS for example) especially in terms of signal processed bandwidth.

The other research direction is dedicated to the analysis of biomedical signals and especially electroencephalogram (EEG) signals recorded on asleep subjects using a single pair of sensors. Our approach to this problem has two technological breakthroughs since it aimed at an automated analysis (and not only visual) and uses a single channel EEG. The efficiency and robustness of the method developed have been measured and experimentally validated [175],[16]. The first goal of this method is to reduce the overall complexity (both in processing time and operation) of the standard approaches in order to obtain a hypnogram according to the rules of Rechtschaffen and Kales (R&K 1968) and that are adapted to the new rules of the American Academy of Sleep Medicine (AASM 2007). A hypnogram is a graphical representation of the sleep stages, from light sleep to deep sleep. Hence the method is able to control the drowsiness in real-time which has numerous industrial applications such as risky site monitoring or transport security (preliminary results are reported in [77]). Another direction of research targets the so call "smart waking up" concept whose principle is to awaken a subject when the phase of sleep is the most favorable (light sleep or dream (REM stage)) to reduce the inertia of sleep. The sleep inertia is a transitional state of disorientation and confusion on awakening and may causes the degradation of mental performance. It was, in particular, shown that it is possible to optimize sleep to get the benefits (the recovery) without the disadvantages (torpor, sleep inertia).

### **Speech production**

**Researchers** S. Maeda;

**Highlights** :

**Collaborations:** Collaboration With Department of Human Information Processing in ATR, Kyoto Japan and Phonetics and Phonology Laboratory (PPL), CNRS-University Paris 3.

**Projects:** IST-ASPI (Audiovisual to Articulatory Inversion), ANR-ARTIS (Articulatory inversion from audio-visual speech for augmented speech presentation), Experimental and Clinical phonetics with multi-instrumentations

In the context of the European project ASPI, we have investigated the acoustics characteristics of fricative sounds in various languages, which can be exploited in the acoustics-to-articulatory inversion. The combination of the high resolution MRI data recorded at ATR for the 3D vocal-tract shapes during the production of the fricatives and acoustic simulation have revealed that 1) distinctively different two classes of vocal tract configurations are used by French speakers to produce the same fricative consonant [160]; 2) a smooth change in the vocal-tract shape does not always produce a smooth spectral shape variation of the fricatives. Rather, in some regions the change produces a little spectral change whereas in other regions it causes an important spectral shape change. Interestingly the MRI observed vocal-tract shapes during fricatives tend to disperse in the stable regions, providing the evidence that the acoustic property of the vocal tract contributes to the specificity of the fricative sounds used in languages [142]; 3) we have developed relatively simple models of fricatives that can produce highly intelligible and naturally sounding fricatives in speech synthesis experiment [200].

In the follow up project, ARTIS, we are improving the acoustic modeling of fricatives and other consonants in order to fully exploit the advance in the MR imaging technique to measure detailed vocal-tract shapes. We expect that such modeling will allow us to gain the comprehensive understanding on the mapping between the vocal-tract shapes and the acoustic patterns of speech. The collaboration with Kiyoshi Honda (ATR) resulted in the invention of two non-invasive instruments: an external lighting and sensing PhotoGlottoGraph (ePGG) and a pneumotachograph with a disposal mask. The former is used to observe the activities of the larynx, abduction/adduction of the vocal folds during consonants and their oscillation during voicing. The latter one is used to measure the airflow passing through the vocal tract. These instruments will be used to evaluate the speech ability of patients in medical environments as well as in phonetic experiments [167]. Patent application for each of these two inventions is in progress with help from the CNRS.

## 1.2 Optical signal processing

**Researchers** R. Frey , A. Maruani , I. Zaquine ;

**Highlights** Institut TELECOM funding on the subject *Network functions for quantum information*  
Ile de France Région funding on the subject *Quantum Interface for storage of long distance propagating photons* (collaboration with "Institut d'Optique Graduate School").

### Objectives

In the domain of classical optical signal processing, diffraction gratings are a basic resource that can be used for a number of devices, ranging from filters to holographic memories. Significant advances can be made, as far as diffractive properties are concerned, if a clever combination of material choice, nonlinear effects and configuration can be found, which has been our main concern for many years.

A new research subject on quantum signal processing for quantum communications applications has started for two years, as in this field also, the need is great for new devices based on nonlinear optics.

### Results

The investigation of new intracavity gratings configurations using Gaussian beams [20], gain media [41], thin gratings [52] has given rise to very efficient devices for optical signal processing

applications :

The experimental results obtained with a YAG micro-laser confirmed the theoretical predictions and the advantage of the intracavity gain medium [41]. The diffraction efficiency of the grating is increased by a factor 5000 and the angular selectivity by a factor 20. The developed models enable predictions on various devices from the infinitely thin grating [52] to the thick grating filling the whole cavity that was experimentally tested.

The 2D refractive index gratings, using the band edge resonance of the Bragg mirror to enhance the diffraction properties of the transverse diffraction grating have also been very successful. With the dual independently tunable optical parametric generator developed in our laboratory, a Bragg diffraction regime was observed together with a huge enhancement of the diffraction efficiency in these crystals, in spite of their micrometric size[33]. The simple analytical modeling developed for this kind of gratings can be most useful for the design of new devices [34].

The first achievement concerning quantum signal processing is the implementation of a continuous polarisation entangled photon pairs source at 810 nm, based on spontaneous parametric down-conversion [51]. It was setup for teaching purposes but its performances are comparable to the published results for comparable systems.

The next extraordinary challenge for quantum communication networks is the quantum repeater, including a quantum memory, a full Bell-state analysis and also an entanglement purification facility. The first issue is the compatibility between the long distance carrier photons at 1550 nm, with a bandwidth of 1 nm and the storage systems that operate below 900 nm, with a linewidth of only few hundreds of fm.

In this context, two key elements are a narrowband polarisation entangled photon pairs source and the corresponding wavelength changing interface that will preserve the bandwidth and polarisation of the photons. Nonlinear optics is at the heart of all these functions as spontaneous parametric down conversion will be used for the source, together with very complex filtering, and sum-frequency generation for the interface. An optical parametric oscillator will be setup as a specific narrow-band pumping source for the sum-frequency generation.

With the grants of Region Ile de France and Institut Telecom, the experiments on the quantum interface that will enable the storage of a telecom photon in a solid state quantum memory while preserving its polarization have been started.[181]. The investigation of the compatibility of a propagating qubit with the quantum memory has also led us to the project of designing a new narrow-band polarisation entangled photon pairs source. Future work will be conducted in collaboration with the IQ team of Romain Alléaume (INFRES department of Telecom ParisTech), the Laboratoire Aimé Cotton in Orsay and the LPMC of Nice University within the framework of the three years "eQUANET" ANR project (accepted in 2009). Preliminary experiments show that 20000 photon pairs should be available in the 40 MHz expected bandwidth.

## 1.3 References

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